

Our Commitment to You

Trilogy Capital, Inc. (“Trilogy”, “we”, or “us”) is committed to protecting the personal information of our Clients (“you” and your”).

We understand that you have entrusted us with your information and take that responsibility seriously. We maintain safeguards designed to protect the security and confidentiality of the personal information we collect in connection with providing investment advisory services to you.

Trilogy does not sell your non-public personal information. We share such information only as necessary to service your account or as permitted by law.

This Privacy Policy describes how we collect, use, and protect your personal information.

Why You Need to Know

Registered Investment Advisors (“RIAs”) must share some of your personal information to service your account. Federal and State laws let you limit certain sharing and require RIAs to explain how we collect, share, and protect your personal information.

Information We Collect From You

Driver’s License Number
Social Security or Taxpayer Identification Number
Name, Address and Phone Number[s], Including Mobile Numbers
E-mail Address[es]
Account Information (Including Other Institutions)
Date of Birth
Assets and Liabilities
Income and Expenses
Investment Activity
Investment Experience and Goals

Information We Collect From Other Sources

Custody, Broker and Advisory agreements
Other Advisory Agreements and Legal Documents
Transactional Information with Us or Others
Account Applications and Forms
Investment Questionnaires and Suitability Documents
Other Information Needed to Service Account

How we protect your information

We maintain physical, electronic, and procedural safeguards designed to protect your personal information from unauthorized access or use. These safeguards include secure systems, encrypted file storage, and restricted access. Our personnel are trained on their responsibilities to protect client information, and we require service providers that assist us to maintain appropriate safeguards.

How we share your information

We may share personal information as necessary to provide advisory services, maintain your account, or as permitted by law. We may also use your mobile phone number to communicate with you via text message (SMS) regarding account servicing, meeting coordination, or other business-related communications.

Reasons We Can Share Your Personal Information	Do we share?	Can you limit?
Servicing our Clients We share non-public personal information with third parties (e.g., administrators, brokers, custodians, regulators, credit agencies, other financial institutions) as needed to provide services, including transaction processing, account maintenance, regulatory responses, and credit reporting.	YES	NO
Marketing Purposes We do not share personal information with third parties for marketing. Some laws allow sharing with financial institutions where there is a formal agreement, but only for account servicing, not marketing.	NO	YES
Authorized Users Your non-public personal information may be disclosed to you and persons that we believe to be your authorized agent[s] or representative[s].	YES	YES
Information About Former Clients We do not share personal information about former clients with third parties.	NO	NO
Mobile Communications (SMS/Text Messaging) See Mobile Communication section below.	YES	YES

Mobile Communications (SMS/Text Messaging)

If you communicate with us via text message or opt in to receive SMS communications, we may use your mobile phone number to send service-related messages or respond to inquiries. You may opt out at any time by replying **STOP** to any message. We **do not sell** your mobile phone number or SMS consent. Mobile information may be shared only with service providers that help deliver these communications (such as SMS messaging platforms).

State-specific Regulations

California	In response to a California law, to be conservative, we assume accounts with California addresses do not want us to disclose personal information about you to non-affiliated third parties, except as permitted by California law. We also limit the sharing of personal information about you with our affiliates to ensure compliance with California privacy laws.
Massachusetts	In response to Massachusetts law, the Client must “opt-in” to share non-public personal information with non-affiliated third parties before any personal information is disclosed. Client opt-in is obtained through the Client’s execution of authorization forms provided by the third parties, by executing an Information Sharing Authorization Form, or by other written consent by the Client, as appropriate and consistent with applicable laws and regulations.
Vermont	In response to a Vermont regulation, if we disclose personal information about you to non-affiliated third parties, we will only disclose your name, address, other contract information, and general information about our experience with you.

Changes to our Privacy Policy

We will provide you with a copy of this Privacy Policy annually for as long as you maintain a relationship with us.

We may revise this Policy from time to time and will provide you with an updated version if changes materially affect how we use or share your personal information. We will not share your non-public personal information in ways not described in this Policy without first notifying you and providing you the opportunity to limit such sharing.

Any Questions?

You may ask questions or voice any concerns, as well as obtain a copy of our current Privacy Policy by contacting us at (844) 356-4934 ext. 1160