

Technology

NPC Representatives speak. NPC technology experts listen. This continuous collaboration has resulted in technology that **saves NPC Representatives as much as "3 hours per day."***

From the NPC website, Representatives' single stop "Command Center," you can access the following technologies (all of which are included in your Affiliation Package):

EOE (Electronic Order Entry) with Laser App – NPC's signature and revolutionary technology. Designed to realize NPC's vision of a paperless office, EOE gives you back your most precious commodity – your time. Among the many efficiencies Representatives enjoy are pre-populated fields for client information, electronic blotters, real-time compliance checks, up-to-the-minute tracking, and NPC's innovative e-Sign, e-Transmission, and e-File technologies.

- **e-Sign** – using an electronic signature pad connected to your PC, you can have your clients e-Sign all required account applications and disclosures.
- **e-Transmission** – electronically submit all required documents and disclosures within EOE with electronic signatures affixed (if signatures are required).
- **e-File** – an electronic file cabinet that maintains archived copies of previously submitted documents or disclosures that were electronically signed (e-Signed). This eliminates the need to store hard copy files in-house or off-site.
- **Laser App** – Use this industry standard form filling software to assist you in filling out the tedious and redundant fields in securities, annuity, clearing firm, and broker-dealer forms. Your data can be pulled directly from your contact management system, your database, or from within Laser App's own database.

NPC Commissions Center – Instantly view and manage your commission statements online. Within the NPC Commissions Center you can review past commission statements, check the status of pending commissions, review the Commissions Calendar to find out when the next commission run is and request settled commissions in advance of the scheduled pay date through NPC's exclusive **Commissions Express** service.

NetExchange Pro® (HTML Version) – Use this online trading platform to review account information, place orders, obtain quotes, and access news and research. You and your clients can also view statements, trade confirmations, and tax statements online through Pershing's E-Document Suite.

Web-Based Portfolio Reporting – NPC's proprietary web-based portfolio reporting system that allows NPC Advisory Services Representatives who participate in the Managed Account Program (MAP) to view or print a variety of reports 24/7. Updated daily as of the previous business day, full-color reports include portfolio performance, portfolio appraisal, transactions and portfolio summaries, benchmark comparisons, and much more.

DST Vision – DST Vision enhances management of client portfolios while increasing Representatives' productivity. A full range of functionality includes consolidated customer portfolio management, detailed account information, and electronic statements. With more than 250 information-packed screens, DST Vision provides real-time information on more than 260 mutual fund and variable annuity families.

FIS – Fixed Income Securities provides an extensive array of thousands of institutional bond offerings from hundreds of broker-dealers nationwide. Use FIS to access analytical support and services that include assistance in portfolio analysis and tune-ups, client-ready presentations, fixed income strategies, swaps, ladders and bids.

OSJ Express – Created specifically for OSJ Managers to streamline their day-to-day operations, OSJ Express contains easily accessible forms, manuals, Out of Office Notification, and other resources developed to expedite business processing.

NPC also offers Representatives optional technology resources, usually at attractive discounts, for use in their practices. Among these technologies are:

- **Albridge Solutions** – Formerly StatementOne, Albridge Solutions has quickly become the standard for the aggregation of, and performance reporting on, financial account information. Use Albridge Solutions' Web Services to seamlessly and securely transfer or integrate data into mission critical applications such as CRM, Financial Planning, Research/Analytics, and Data Warehousing. Save time and resources, while increasing productivity with this user-friendly technology.
- **Redtail** – Redtail is a fully customizable web-based client management system that gives you advanced organization capabilities, including detailed client reports and an extensive document storage area. NPC understands how vital client interaction is to your business, and we believe Redtail is the definitive program to help you in your endeavor.
- **Net X Select** – Gives your clients the ability to view online statements and confirms brokerage account information, balance information, history, holdings, and access to delayed quotes.
- **AdvisorSquare** – The leading provider of financial websites, AdvisorSquare's web consultants work with Representatives to create a look and feel for their websites that mirrors the personality of their practice. Use AdvisorSquare to promote your services, gain online referrals, educate your clients with specialized financial newsletter, and strengthen client loyalty.
- **Laserfiche** – Reduce costs by streamlining the number of filing cabinets, file rooms, and staff needed to maintain them with Laserfiche's document archival and retrieval systems. Document imaging enables you to scan, store, and retrieve all necessary documents – straight from your PC. Then, you can easily email important documents with the click of a mouse.
- **Pareto Systems** – Take advantage of Pareto Systems' specialized consulting services specifically designed for financial advisors as well as its Customer Relationship Management (CRM) platform to uncover new assets, build competitor-proof clients, structure a more efficient practice, and create and stick with a customized professional code-of-conduct.
- **E-Z Data** – E-Z Data's SmartOffice® is a CRM tool from which you can download data directly from Albridge Web Services' technology, build client relationships, cross-sell new products and quickly and easily track your clients' investments.